



SECURITY  
INNOVATION  
GROWTH

STERLING PACIFIC FINANCIAL  
1205 Freedom Blvd. Suite 2  
Watsonville, CA 95076  
P 831.786.1980  
F 831.786.1999

## INVESTOR WORKSHEET INSTRUCTIONS

Attached is our Investor Worksheet, this provides us with pertinent information that we need to process your future investment.

Please note, the Investor Vesting (item #6) will determine how the Assignment of Deed of Trust, your security in this investment, is recorded against the real property (\*\*If your investment is vested in a Trust, we will need a copy of the Trust for our files.)

There are many ways to hold Title, a few examples are as follows:

- Husband and Wife as Joint Tenants
- Community Property
- Tenants in Common
- Trust
- Sole & separate property
- Single or unmarried

**This form is NOT an election for an investment, only to provide us with information to process your investment request.**



## **Investor Information Worksheet**

*Please complete the following:*

1. **Investor Name:** \_\_\_\_\_
2. **Investor Address:** \_\_\_\_\_  
**If a P.O. Box – physical address:** \_\_\_\_\_
3. **Investor Tax ID:** \_\_\_\_\_ **Co-Investor Tax ID:** \_\_\_\_\_
4. **Investor Telephone:** \_\_\_\_\_ **Fax (if applicable/or 2<sup>nd</sup> phone number)** \_\_\_\_\_
5. **Investor Mobile:** \_\_\_\_\_ **Investor e-mail address:** \_\_\_\_\_

*HOW YOU HOLD TITLE TO YOUR INVESTMENT COULD HAVE SERIOUS TAX CONSEQUENCES. IT IS STRONGLY RECOMMENDED THAT YOU SEEK TAX AND/OR LEGAL COUNSEL WHEN COMPLETING THIS SECTION (6).*

6. **Investor Vesting:** \_\_\_\_\_
7. **\*Alternative Address or account name for checks (i.e. bank name, address and Account):**  
\_\_\_\_\_
8. **Additional Information/Comments:** \_\_\_\_\_  
\_\_\_\_\_

*I understand that by signing this document I am certifying the above information to be true and accurate*

\_\_\_\_\_  
\_\_\_\_\_

\*If you would like us to have your payments sent to your bank electronically, please complete the attached EFT Authorization Form and include a Voided Check

---

(To be completed by Sterling Pacific Financial)

Date Received: \_\_\_\_\_ Investor No. \_\_\_\_\_  
Referred by: \_\_\_\_\_  
Additional Notes: \_\_\_\_\_



## AUTHORIZATION FOR ELECTRONIC FUNDS TRANSFER (EFT)

Investor Name: \_\_\_\_\_ Social Security Number: \_\_\_\_\_

Address: \_\_\_\_\_ Investor Partner Number: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

I (We) hereby authorize Sterling Pacific Lending, Inc. DBA Sterling Pacific Financial to deposit distributions from my (our) interest in the units of SPL, Inc. into the account listed below at the financial institution (the "BANK") indicated below. I further authorize SPL, Inc. to debit my account noted below in the vent SPL, Inc. erroneously deposits additional funds to which I am not entitled, provided that such debit shall not exceed the original amount of the erroneous deposit. In the event that I (we) withdraw funds erroneously deposited into my account before SPL, Inc. reverses such deposit, I agree that SPL, Inc. has the right to retain any future distributions that I am entitled until the erroneously deposited amounts are recovered by SPL, Inc.

This authorization is to remain in full force and effect until SPL, Inc. has received written notice from me of the termination of this authorization in time to allow reasonable opportunity to act on it, or until SPL, Inc. has sent me written notice of termination of this authorization.

### INVESTOR INFORMATION

Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Investor or Trustee:

Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Investor or Trustee:

Cell Number: \_\_\_\_\_ Phone Number: \_\_\_\_\_

### REQUIRED FINANCIAL INSTITUTION INFORMATION FOR ELECTRONIC FUNDS TRANSFER

Bank Address: \_\_\_\_\_

Bank Name: \_\_\_\_\_ Account Number: \_\_\_\_\_

Account Type: \_\_\_\_\_ Institutional Routing Number / ABA Number: \_\_\_\_\_

### THIS REQUEST WILL NOT BE HONORED UNLESS YOU ENCLOSE A VOIDED CHECK

*\*\*NOTE: The information provided must be tested. Authorization forms received after the first of the month may be held until the following month in order to allow sufficient time for testing\*\**

**Please enclose a voided check (or deposit slip for savings account) with the completed form and mail it to Sterling Pacific Financial ATTN: Kelly at 1205 Freedom Blvd. Suite 2, Watsonville, CA 95076.**

Statement Option: E-Mail  Paper Statement via U.S. Mail  Both

